



PTO/SB/96 (08-03)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Cedars-Sinai Medical CenterApplication No./Patent No.: 09/720,934 Filed/Issue Date: January 2, 2001Entitled: ISOLATED SH3 GENES ASSOCIATED WITH MYELOPROLIFERATIVE DISORDERS AND LEUKEMIA, AND USES THEREOFCedars-Sinai Medical Center, a

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. [☒] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011673, Frame 0844, or for which a copy thereof is attached.

OR

B. [☐] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.[ ☐ ] Additional documents in the chain of title are listed on a supplemental sheet.[ ☐ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

January 20, 2004

Date

(310) 423-5708

Telephone number

Peter E. Braveman

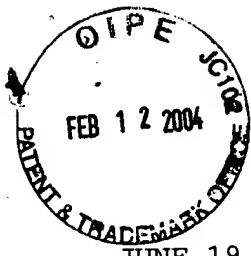
Typed or printed name

Senior Vice President for Legal  
Affairs & General Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JUNE 19, 2001



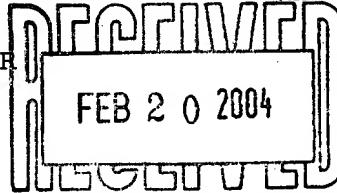
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\*101675543A\*



2320-1-001 PCT/m

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RECORDATION DATE: 01/04/2001

REEL/FRAME: 011673/0844  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KORENBERG, JULIE R.

DOC DATE: 11/15/2000

ASSIGNOR:  
CHEN, XIAO-NING

DOC DATE: 11/16/2000

ASSIGNEE:  
CEDARS-SINAI MEDICAL CENTER  
8700 BEVERLY BOULEVARD  
LOS ANGELES, CALIFORNIA 90048-1865

SERIAL NUMBER: 09720934  
PATENT NUMBER:

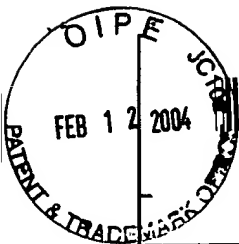
FILING DATE:  
ISSUE DATE:

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526 Rec. PCT/PTO 02 JAN 2001U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeORDINATION FORM COVER SHEET  
PATENTS ONLY

Attorney Docket No. 2320-1-001 PCT/US

To the Assistant Commissioner for Patents

## 1. Name of conveying party(ies):

Julie R. Korenberg; Xiao-Ning Chen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: \_\_\_\_\_

Execution Date: November 15, 2000; November 16, 2000

## 2. Name and address of receiving party(ies):

Name: Cedars-Sinai Medical CenterAddress: 8700 Beverly BoulevardLos Angeles, California 90048-1865Additional name(s) & address(es) attached? ☐ Yes ☒ No

FEB 20 2004

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 15 and 16, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID A. JACKSONAddress: KLAUBER & JACKSON411 Hackensack Avenue, 4th FloorHackensack, New Jersey 076016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account, if necessary -  
for overages or underpayments only

## 8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Jackson, Reg. No. 26,742  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT**

WHEREAS, We, Julie R. Korenberg, a citizen of the United States, residing at

8125 Skyline Drive  
Los Angeles, California 90048-1865; and

Xiao-Ning Chen, a citizen of the United States, residing at

723 Nicholas Lane  
Arcadia, California 91006

ASSIGNORS, have invented new and useful improvements in

**ISOLATED SH3 GENES ASSOCIATED WITH  
MYELOPROLIFERATIVE DISORDERS AND LEUKEMIA,  
AND USES THEREOF**

for which we have executed an Application for Letters Patent in the United States on even date herewith;

WHEREAS, CEDARS-SINAI MEDICAL CENTER  
with offices at  
8700 Beverly Boulevard  
Los Angeles, California 90048-1865

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

ASSIGNORS

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

ASSIGNEE

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE,

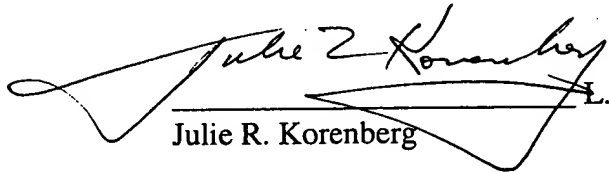
its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

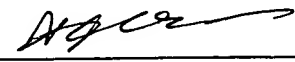
its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date November 15, 2000

  
\_\_\_\_\_  
Julie R. Korenberg L.S.

Date Nov. 16, 2000

  
\_\_\_\_\_  
Xiao-Ning Chen L.S.